AMP case report: Discordant IHC/PCR test results for mismatch repair status in colorectal adenocarcinoma

December 2018—CAP TODAY and the Association for Molecular Pathology have teamed up to bring molecular case reports to CAP TODAY readers. AMP members write the reports using clinical cases from their own practices that show molecular testing's important role in diagnosis, prognosis, and treatment. The following report comes from Duke University Medical Center. If you would like to



submit a case report, please send an email to the AMP at <u>amp@amp.org</u>. For more information about the AMP and all previously published case reports, visit <u>www.amp.org</u>.

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Mismatch repair (MMR) status testing is recommended for all patients with colorectal carcinoma to identify those at

risk for Lynch syndrome, inform disease prognosis, and guide therapeutic management.^{1,2} Germline mutations in MMR genes and consequent microsatellite instability (MSI) are hallmarks of Lynch syndrome, an autosomal dominant disorder associated with a high risk of developing colorectal, endometrial, ovarian, and hepatobiliary tract cancers. Patients and family members with Lynch syndrome benefit from increased cancer surveillance and more radical surgery.¹ Ten to 15 percent of sporadic colorectal cancers are also MMR deficient due to *MLH1* hypermethylation and are notable for *BRAF* mutations.^{3,4} Regardless of germline status, all patients with defective MMR have a better overall prognosis with fewer liver and lymph node metastases.^{1,5} Tumors with defective MMR are less responsive to 5-fluorouracil-based chemotherapy and more sensitive to the immunomodulatory drug pembrolizumab.^{6,7} For these reasons, it is now generally accepted that all patients with newly diagnosed colorectal cancer should be screened for MMR status, a strategy endorsed by the National Comprehensive Cancer Network.⁸

Microsatellites are tandemly repeated DNA sequences distributed throughout the genome that, due to their redundant nature, are particularly prone to slippage and duplication/deletion errors during DNA replication. The stability of microsatellites is dependent upon functional MMR proteins. The two most common MMR testing modalities are complementary in nature: an immunohistochemical assay for the presence/absence of MMR proteins and a PCR-based assay for microsatellite length polymorphisms. A normal IHC result is defined by the intact

nuclear expression of MMR proteins, of which four are commonly tested: MLH1, MSH2, MSH6, and PMS2.⁹ A typical PCR panel examines five microsatellite markers, and samples with alterations in 40 percent (two or more) of these markers are resulted as MSI-high (MSI-H), whereas those with alterations in less than 40 percent (a single marker)

are resulted as MSI-low (MSI-L), and those without any alteration are resulted as microsatellite stable (MSS).¹⁰ As changes in microsatellite length are a molecular manifestation of MMR protein dysfunction, the two tests are highly

concordant.¹¹ Per the NCCN, either IHC or PCR is an acceptable screening method for MMR status,⁸ although many institutions elect to perform both tests. Here, we report a case of a patient with colon cancer whose IHC and PCR tests returned discordant results, discuss the pros and cons of different testing methods, and explain what the discordant results might mean with respect to possible etiologies and clinical interpretation.

Case. The patient, a 62-year-old Caucasian male, presented to the emergency room with a five-month history of shortness of breath and intermittent dark tarry stools. Initial workup revealed anemia (Hgb 5.4 g/dL) and a positive fecal occult blood test. The patient's family history was notable for colon cancer in his mother and two other maternal relatives, a maternal cousin with polyposis and a maternal grandmother who died of metastatic cancer of unknown primary. He had two abnormal colonoscopies nine and 10 years prior, for which he was offered surgical therapy but declined (prior histopathology unknown to authors).

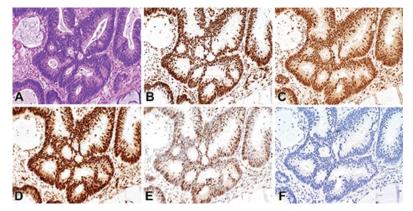


Fig. 1. Mismatch repair testing by immunohistochemistry demonstrates intact expression of DNA mismatch repair proteins in the neoplastic cells. A H&E; B MLH1; C PMS2; D MSH2; E MSH6; and F IHC negative control. Photomicrographs were taken with a 40 × magnification objective.

A diagnostic colonoscopy revealed a 9-cm ulcerated splenic flexure mass with invasive adenocarcinoma. Complete resection of the mass one month later identified a mucinous colonic adenocarcinoma with invasion into the subserosal adipose tissue and two lymph nodes positive for metastasis (pT3 N1b). Tissue from the resection specimen was submitted for MMR-deficiency testing by IHC and MSI testing by PCR, which returned discordant results. The IHC test showed retained expression of MLH1, MSH2, MSH6, and PMS2 in the neoplastic cells (**Fig. 1**). However, the PCR analysis identified MSI in five of five mononucleotide repeat alleles, an MSI-high result (**Fig. 2**). Despite the normal IHC result, the abnormal PCR result was deemed sufficient evidence of defective mismatch repair. The suspicion for a germline mutation was high because sporadic colorectal cancers with defective MMR

typically show high MSI with concurrent loss of MLH1 and PMS2 by IHC.³ Thus the patient's IHC and PCR results in combination with his strong family history of malignancy prompted referral for genetic counseling for further risk management for the patient and his family members.

The genetic counselor, in accordance with the testing strategies outlined by the NCCN,⁸ recommended germline testing as well as next-generation sequencing of the tumor to aid in identifying mutation-specific therapies and potential clinical trials. Germline testing identified a heterozygous pathogenic mutation in the *MSH6* gene, c.1634_1635delAA, which causes a frameshift mutation with loss of function and premature protein truncation. This finding was diagnostic of Lynch syndrome. The NGS panel identified 13 somatic alterations in the tumor, including mutations in *KRAS*, *APC*, and *TP53*. No reportable alterations were identified in *MLH1*, *MSH2*, *PMS2*, *EPCAM*, or *BRAF*.

Discussion. The decision to screen with either IHC, PCR, or both assays requires careful consideration of the

technical aspects and limitations of each method.^{9,10} IHC is an attractive screening assay because it is relatively inexpensive and simple to perform in most pathology laboratories. IHC can be performed on small biopsies composed entirely of tumor tissue. The IHC staining pattern may also suggest which gene (*MLH1, MSH2, MSH6*, or *PMS2*) is affected, allowing for efficient triaging of further analysis. A problematic aspect of IHC testing is that staining may vary in intensity or lack convincing positive internal controls, and interpretation requires an experienced pathologist. The greatest limitation of IHC is that not all pathogenic MMR mutations result in loss of immunoreactivity, such as missense mutations or frameshift/truncation mutations that interfere with protein function without altering the antigenic portion of the protein. A study by Bartley, et al., determined that 11.8

percent of MSI-H carcinomas retained IHC expression of all four MMR proteins, yielding a false normal IHC result.¹¹ Thus, a screening strategy using solely IHC will miss a significant proportion of MSI-H tumors.

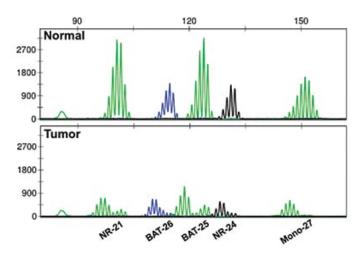


Fig. 2. PCR-based analysis of microsatellite length demonstrates microsatellite instability. Five mononucleotide repeat loci are assessed, comparing normal tissue (top panel) to tumor tissue (bottom panel).

PCR-based MSI assays can identify tumors with defective MMR regardless of MMR protein status. While the majority of germline MMR mutations occur within the proteins MLH1, MSH2, MSH6, and PMS2, the MMR machinery relies on myriad other genes and gene products such as *MSH3*, *PMS1*, or *EPCAM*, which can also cause MMR deficiency when

mutated.¹⁰ Such mutations would be successfully identified by PCR, but not IHC. PCR assays are comparatively simple to evaluate and less susceptible to interpretation errors. Indeed, studies have shown that the reproducibility

of PCR-based MSI testing is close to 100 percent.¹⁰ However, the assay must be performed in a more specialized laboratory, and the turnaround time is typically longer than that of IHC. PCR also requires both normal and tumor tissue for comparison, which may not be present in all small tissue biopsies, thus necessitating surgical resection for complete assessment. Unlike IHC, PCR results provide no clues as to which gene may contain the underlying mutation, thus offering no cost savings in triaging further analysis. Another important caveat is mutation of MSH6, which, due to functional redundancy in the MMR system, may or may not cause MSI that is detectable by PCR. A screening strategy that uses only PCR would identify such a tumor as MSS, a false normal result. Thus, neither IHC nor PCR is equipped to identify 100 percent of tumors with defective MMR, a problem that may be solved by next-generation sequencing, which can simultaneously detect MSI and screen for mutations in MMR genes. Currently, NGS is cost prohibitive as a screening strategy, but as costs continue to fall, NGS may supersede IHC and PCR as the favored test, as a recent study showed NGS assays to have sensitivity and specificity approaching 100 percent.^{12,13}

Screening strategies differ by institution and may vary within the institution depending on the level of clinical suspicion for Lynch syndrome. Numerous studies have concluded that IHC and PCR have comparable sensitivity

and specificity in detecting defective MMR.^{4,9,10} Although the NCCN recommends using only one test initially, the panel also acknowledges that when clinical suspicion for Lynch syndrome is high, a normal result via one method

may warrant testing via a second method.⁸ At our institution, we favor concurrent initiation of IHC and PCR to minimize the patient impact of rare false normal results, despite the increased cost of redundant testing. However, this strategy can (albeit rarely) yield discordant results, as in this case. The aforementioned study by Bartley, et al., sought to quantitate the frequency of clinically significant discordances at the authors' own institution over an

eight-year period and identified IHC/PCR disagreement in 13 of 591 (2.2 percent) colorectal tumors.¹¹ The majority of discordant tumors showed normal IHC with MSI-H PCR. A subset of these cases was ultimately determined to have germline alterations in *MSH2*, *MLH1*, or *PMS2*, reinforcing the concept of nonfunctional MMR proteins with retained antigenicity. The remaining cases remained unresolved, but possible explanations include defects in one of the lesser-known accessory MMR proteins. The converse discordance is also possible: abnormal IHC with MSS

PCR. Possible explanations for this scenario include contamination of the PCR reaction with excess normal cells, loss of MSH6 IHC staining in the setting of prior chemotherapy or radiotherapy, or retained mismatch repair

function in patients with germline *MSH6* mutations.¹⁴ When discordant IHC and PCR test results occur, the tumor should be considered defective in MMR, and patients should be referred to genetic counseling for consideration of germline testing.

Summary. Given the implications for disease prognosis, surveillance, and predicted responses to therapy, it is recommended that all patients with newly diagnosed colorectal carcinoma undergo testing for MMR status. MSI testing by PCR and MMR protein assessment by IHC are highly concordant, but neither test alone is sufficient to capture 100 percent of tumors with defective MMR, and therefore dual PCR and IHC testing is an acceptable practice. Discordant IHC and PCR results, recently estimated to occur in 2.2 percent of colorectal tumors, most

commonly reflect mutated nonfunctional MMR proteins with retained antigenicity.¹¹ Discordant results should be interpreted as defective MMR, and additional testing should be performed to rule out a germline mutation.

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Test yourself

Here are three questions taken from the case report. Answers are online now at <u>www.amp.org/casereports</u> and will be published next month in CAP TODAY.

1. A recent report by Bartley, et al.,11 estimates the rate of discordance between IHC and PCR-based assays for mismatch repair at approximately:

- a) 0.1 percent
- b) 2 percent
- c) 10 percent
- d) 20 percent
- e) 55 percent

2. Why is it important to test for mismatch repair/microsatellite instability status of colorectal cancers?

a) It provides key information about tumor staging.

b) Patients with intact MMR have a better overall prognosis.

c) Patients with intact MMR should have routine extra-colonic cancer screenings.

d) It provides potential risk information for patients' family members.

3. Which of the following is the correct interpretation of a tumor's mismatch repair status with intact MMR protein expression by IHC but MSI-high by PCR?

a) Intact

b) Deficient

c) Indeterminate

Test yourself answers

In the <u>November 2018 issue was a case report</u>, "Detection of concurrent hematologic malignancies in solid tumor NGS testing may cause false-positive results," written by members of the Association for Molecular Pathology. Here are answers (in bold) to the three "test yourself" questions that followed that case report.

1. KRAS mutations in lung adenocarcinoma are most likely to be found in which codon(s)?

- a) 12 and 13
- b) 13 and 61
- c) 12 and 61
- d) 61

2. KRAS mutations are usually mutually exclusive of which other mutations?

- a) BRAF
- b) EGFR
- c) ALK

d) All of the above

3. RAS mutations are found in approximately what percentage of CMML?

a) 50-60 percent

b) 20-30 percent

c) 5-10 percent